



The New York Metro Area Chapter invites you to attend...

**An Update from the DOL:
EBSA Current Enforcement Trends**

Please join us as our speaker, Jonathan Kay, from the U.S. Department of Labor's New York Regional Office of the Employee Benefits Security Administration (EBSA) discusses EBSA current enforcement trends. The topics include:

- Conflicts: Disclosure of Fees and Indirect Compensation
- ESOPs: Valuations and Duty to Monitor Independent Trustee
- Health Plan Investigations: Systemic Denial of Benefits and Affordable Care Act
- National and Regional Enforcement Projects

Jonathan Kay

Regional Director of the U.S. Department of Labor's New York Regional Office of the EBSA

Jonathan has been the Regional Director of the U.S. Department of Labor's New York Regional Office of the Employee Benefits Security Administration (EBSA) since August 2005. Prior to becoming Regional Director, he served in several management positions since joining the Agency in 1996. At EBSA he has been responsible for overseeing the Regional Office's civil enforcement program which seeks to obtain compliance with the fiduciary, reporting and disclosure provisions of Title I of ERISA. Jonathan is also responsible for the Office's criminal enforcement program which is handled in conjunction with the U.S. Attorney's Offices as well as state and local prosecutors

Prior to joining EBSA, Jonathan served as a trial attorney in the U.S. Department of Labor's Office of the Solicitor for 18 years. Jonathan is a graduate of Tufts University and New York Law School.

Date: Wednesday, November 13, 2013

Time: Registration check-in begins at 8:30 AM

Presentation / Q & A from 9:00 AM – 10:00 AM

Meeting Location and Directions:

Penguin Random House, 1745 Broadway, 14th Floor, Dr. Seuss Room (b/t 55th & 56th St)

Directions

Take the 1, A, or C to Columbus Circle, the N, Q, or R to 57th St / 7th Ave, the B, D, or E to 53rd St / 7th Ave, or take the F to 55th St / 6th Ave

NOTE: Photo ID and pre-registration are required to enter the building!



Online Registration Form

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[REGISTER HERE](#)

To attend you must register by **Friday, November 8, 2013 (5 PM)**

Attendees of this event will receive 1.0 CEBS CPE credit

If you will not be able to attend, please notify us no later than 5:00 PM on Friday, November 8, 2013. Non-members not able to attend must notify us by this date to receive a refund.

NY Metro Area Chapter Meeting Costs:

Member – Free

Non-Member – \$25 Fee

Payment for non-members of the NY Metro Chapter:

- Via PayPal, you will be taken to the payment page once you [register](#) for the event on our [website](#).
- In-person via check-in at the event. When you [register](#), please add a note in the “additional comments” field that you will pay at the event via check. At the registration desk please provide your check made payable to “**New York Metro Area Chapter – ISCEBS**”.

Tax ID #: 13-3293220

The NY Metro Chapter of ISCEBS is now accepting online [registrations](#). Please contact Sue Andres if you have any questions or feedback regarding the new registration process:

E-Mail: support@cebsnyc.org

Telephone: (718) 705-4263

For questions about the program, please contact Rich Raia:

E-Mail: education@cebsnyc.org

Telephone: (732) 266-4222

Sponsored by the New York Metropolitan Area Chapter of the International Society of Certified Employee Benefit Specialists (ISCEBS), a nonprofit organization that serves as an educational resource for certified employee benefit specialists and others in the employee benefits community.

